



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No.: 10/797,972 Filing Date: March 10, 2004
Confirmation No.: Unassigned
First Named Inventor: Yi Ding
Assignee: ProMOS Technologies, Inc.
Examiner: Unassigned Art Unit: Unassigned
Attorney Docket No.: M-15297 US

San Jose, California
April 16, 2004

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR § 1.97(b)

Dear Sir:

Pursuant to 37 CFR § 1.56, § 1.97 and § 1.98, the documents listed on the accompanying form PTO-1449 are called to the attention of the Examiner for the above patent application. Copies of these documents are enclosed except for United States Patents and United States Published Patent Applications.

Citation of these documents shall not be construed as:

1. an admission that the documents are necessarily prior art with respect to the instant invention;
 2. a representation that a search has been made, other than as described above;
- or
3. an admission that the information cited herein is, or is considered to be material to patentability as defined in § 1.56(b).

Application No. 10/797,972

No fee is believed to be required. If a fee is required for this Information Disclosure Statement, please charge the fee to Deposit Account No. 50-2257. This paper is being submitted in duplicate.

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, on April 16, 2004.

Michael Shenker 4-16-04
Attorney for Applicant(s) Date of Signature

Respectfully submitted,

Michael Shenker

Michael Shenker
Patent Attorney
Reg. No. 34,250
Telephone: (408) 392-9250

Law Offices Of
MacPherson Kwok Chen & Heid LLP
1762 Technology Drive, Suite 226
San Jose, CA 95110

U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Serial No.	
				M-15297 US		10/797,972	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s)			
(Use several sheets if necessary)				Yi Ding			
				Filing Date		Group	
				March 10, 2004		Unassigned	
U.S. Patent Documents							
*Examiner Initial		Document Number	Date	Name	Class	Subclass	Filing Date If Appropriate
	AA	5,402,371	28 Mar. 1995	Ono			
	AB	5,856,943	5 Jan. 1999	Jenq			
	AC	5,901,084	4 May 1999	Ohnakado			
	AD	6,057,575	2 May 2000	Jenq			
	AE	6,130,129	10 Oct. 2000	Chen			
	AF	6,134,144	17 Oct. 2000	Lin et al.			
	AG	6,171,909	9 Jan. 2001	Ding et al.			
	AH	6,200,856	13 Mar. 2001	Chen			
	AI	6,261,856	17 Jul. 2001	Chang et al.			
	AJ	6,266,278	24 Jul. 2001	Harari et al.			
	AK	6,326,661	4 Dec. 2001	Dormans et al.			
	AL	6,355,524	12 Mar. 2002	Tuan et al.			
	AM	6,365,457	2 Apr. 2002	Choi			
	AN	6,414,872	2 Jul. 2002	Bergemont et al.			
	AO	6,420,231	16 Jul. 2002	Harari et al.			
	AP	6,437,360	20 Aug. 2002	Cho et al.			
	AQ	6,438,036	20 Aug. 2002	Seki et al.			
	AR	6,486,023	26 Nov. 2002	Nagata			
	AS	6,518,618	11 Feb. 2003	Fazio et al.			
	AT	6,541,324	1 Apr. 2003	Wang			
	AU	6,541,829	1 Apr. 2003	Nishinohara et al.			
	AV	2002/0064071 A1	30 May 2002	Takahashi et al.			
	AW	2002/0197888 A1	26 Dec. 2002	Huang et al.			
	AX	2003/0218908 A1	27 Nov. 2003	Park et al.			
	AY	2004/0004863 A1	8 Jan. 2004	Wang			
Examiner			Date Considered				
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>							

U.S. Department of Commerce, Patent and Trademark Office		Atty Docket No.	Serial No.
		M-15297 US	10/797,972
INFORMATION DISCLOSURE STATEMENT BY APPLICANT		Applicant(s)	
(Use several sheets if necessary)		Yi Ding	
		Filing Date	Group
		March 10, 2004	Unassigned
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)			
	AZ	Wu, A.T.; Chan T.Y.; Ko, P.K.; and Hu, C. "A Novel High-Speed, 5-Volt Programming EPROM Structure With Source-Side Injection," 1986 IEEE, 584-587.	
	BA	Mizutani, Yoshihisa; and Makita, Koji "A New EPROM Cell With A Sidewall Floating Gate Fro High-Density and High Performance Device," 1985 IEEE, 635-638.	
	BB	Ma, Y.; Pang, C.S.; Pathak, J.; Tsao, S.C.; Chang, C.F.; Yamauchi, Y.; Yoshimi, M. "A Novel High Density Contactless Flash Memory Array Using Split-Gate Source-Side-Injection Cell for 5V-Only Applications," 1994 Symposium on VLSI Technology Digest of Technical Papers, pages 49-50.	
	BC	Mih, Rebecca et al. "0.18um Modular Triple Self-Aligned Embedded Split-Gate Flash Memory," 2000 Symposium on VLSI Technology Digest of Technical Papers, pages 120-121.	
	BD	Ma, Yale et al., "A Dual-Bit Split-Gate EEPROM (DSG) Cell in Contactless Array for Single Vcc High Density Flash Memories," 1994 IEEE, 3.5.1-3.5.4.	
	BE	Spinelli, Alessandro S., "Quantum-Mechanical 2D Simulation of Surface-and Buried-Channel p-MOS," 2000 International Conference on Simulation of Semiconductor Processes and Devices: SISPAD 2000, Seattle, WA September 6-8, 2000	
	BF	Kim, K.S. et al. "A Novel Dual String NOR (DuSnor) Memory Cell Technolgy Scalabe to the 256 Mbit and 1 Gbit Flash Memories," 1995 IEEE 11.1.1-11.1.4	
	BG	Bergemont, A. et al. "NOR Virtual Ground (NVG)- A New Scaling Concept for Very High Density FLAS EEPROM and its Implementation in a 0.5 um Process," 1993 IEEE 2.2.1-2.2.4	
	BH	Van Duuren, Michiel et al., "Compact poly-CMP Embedded Flash Memory Cells For One or Two Bit Storage," Philips Research Leuven, Kapeldreef 75, B3001 Leuven, Belgium, pages 73-74.	
	BI	United States Patent Application No. 10/440,466, entitled "Fabrication Of Conductive Gates For Nonvolatile Memories From Layers With Protruding Portions," Filed on May 16, 2003; Attorney Docket No.: M-12979 US.	
	BJ	United States Patent Application No. 10/440,005, entitled "Fabrication of Dielectric On A Gate Surface To Insulate The Gate From Another Element Of An Integrated Circuit," Filed on May 16, 2003; Attorney Docket No.: M-15203 US.	
	BK	United States Patent Application No. 10/440,508, entitled "Fabrication Of Gate Dielectric In Nonvolatile Memories Having Select, Floating And Control Gates," Filed on May 16, 2003; Attorney Docket No.: M-15204 US.	
	BL	United States Patent Application No. 10/440,500, entitled "Integrated Circuits With Openings that Allow Electrical Contact To Conductive Features Having Self-Aligned Edges," Filed on May 16, 2003; Attorney Docket No.: M-15205 US.	
	BM	United States Patent Application No. 10/393,212, entitled "Nonvolatile Memories And Methods Of Fabrication," Filed on March 19, 2003; Attorney Docket No.: M-12902 US.	
Examiner		Date Considered	
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.			

U.S. Department of Commerce, Patent and Trademark Office				Atty Docket No.		Serial No.		
				M-15297 US		10/797,972		
INFORMATION DISCLOSURE STATEMENT BY APPLICANT				Applicant(s)				
(Use several sheets if necessary)				Yi Ding				
				Filing Date		Group		
				March 10, 2004		Unassigned		
OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)								
	BN	United States Patent Application No. 10/411,813, entitled "Nonvolatile Memories With A Floating Gate Having An Upward Protrusion," Filed on April 10, 2003; Attorney Docket No.: M-12903 US.						
	BO	United States Patent Application No. 10/393,202, entitled "Fabrication of Integrated Circuit Elements In Structures With Protruding Features," Filed on March 19, 2003; Attorney Docket No.: M-15151 US.						
	BP	United States Patent Application No. 10/631,941, entitled "Nonvolatile Memory Cell With Multiple Floating Gates Formed After The Select Gate," Filed on July 30, 2003; Attorney Docket No.: M-15171 US.						
	BQ	United States Patent Application No. 10/632,155, entitled "Nonvolatile Memory Cells With Buried Channel Transistors," Filed on July 30, 2003; Attorney Docket No.: M-15222 US.						
	BR	United States Patent Application No. 10/632,007, entitled "Arrays Of Nonvolatile Memory Cells Wherin Each Cell Has Two Conductive Floating Gates," Filed on July 30, 2003; Attorney Docket No.: M-15223 US.						
	BS	United States Patent Application No. 10/631,452, entitled "Fabrication Of Dielectric For A Nonvolatile Memory Cell Having Multiple Floating Gates," Filed on July 30, 2003; Attorney Docket No.: M-15229 US.						
	BT	United States Patent Application No. 10/632,154, entitled "Fabrication Of Gate Dielectric In Nonvolatile Memories In Which A Memory Cell Has Mutiple Floating Gates," Filed on July 30, 2003; Attorney Docket No.: M-15230 US.						
	BU	United States Patent Application No. 10/632,186, entitled "Nonvolatile Memory Cell With Multiple Floating Gates Formed After The Select Gate And Having Upward Protrusions," Filed on July 30, 2003; Attorney Docket No.: M-15241 US.						
	BV	United States Patent Application No. 10/631,552, entitled "Nonvolatile Memories And Methods Of Fabrication," Filed on July 30, 2003; Attorney Docket No.: M-12902-1P US.						
	BW	Shirota, Riichiro "A Review of 256Mbit NAND Flash Memories and NAND Flash Future Trend," February 2000, Nonvolatile Memory Workshop in Monterey, California, pages 22-31.						
	BX	United States Patent Application No. 10/798,475, entitled "Fabrication Of Conducted Lines Interconnecting Conductive Gates In Nonvolatile Memories And Non-Volatile Memory Structures," Filed on March 10, 2004; Attorney Docket No.: M-15296 US.						
Foreign Patent Documents								
							Translation	
		Document	Date	Country	Class	Subclass	Yes	No
	BY	EP 0 938 098 A2	25 Aug. 1999	Europe				
Examiner			Date Considered					
<p>*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with your communication to applicant.</p>								